



**KASA**  
Generics | New Drugs | Biologics

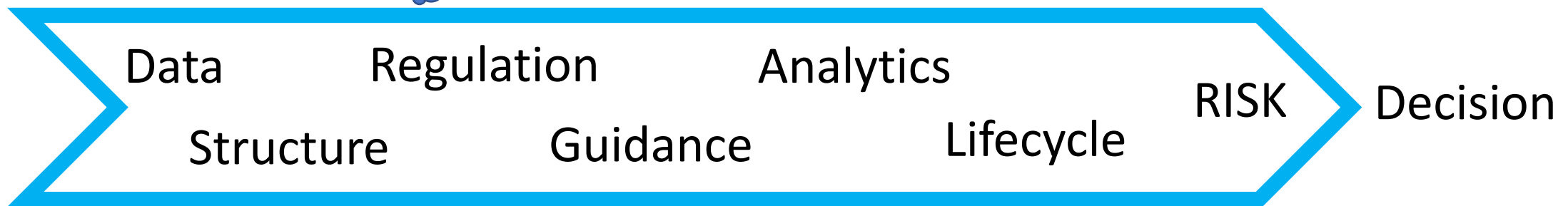


# Knowledge-Aided Assessment and Structured Application (KASA) DRUG PRODUCT ASSESSMENT

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DIMRPII/OLDP/OPQ/CDER/FDA

# Knowledge-Aided Structured Application (KASA) DRUG PRODUCT ASSESSMENT

Concepts to keep in mind  
during this presentation:

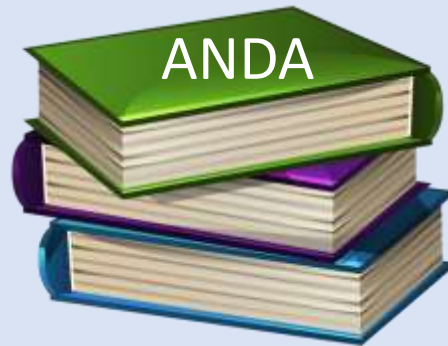


# Drug Product Quality ANDA Assessment **Before** KASA



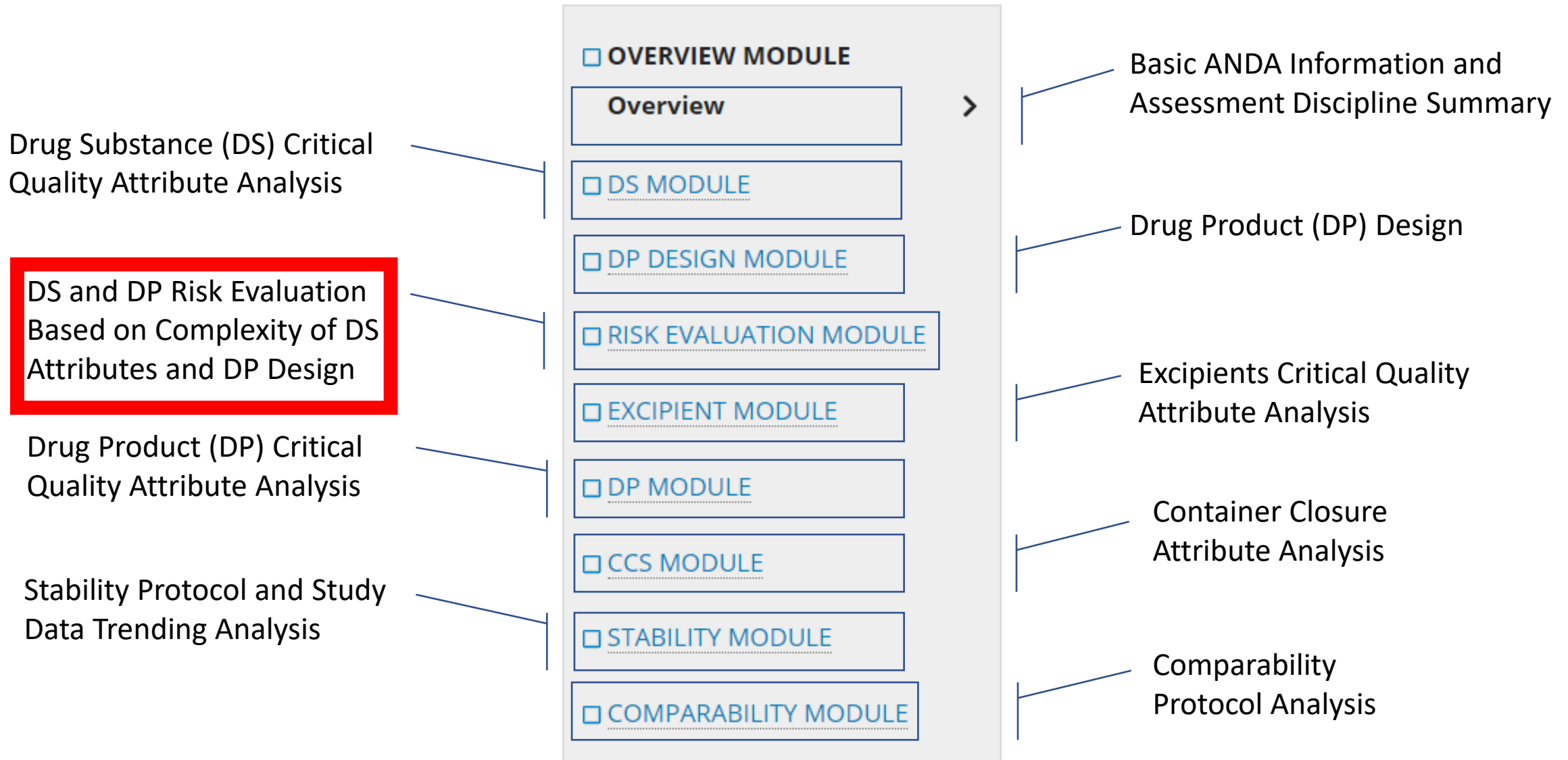
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GUIDANCE



Decision

# KASA Drug Product Assessment Focal Points in ANDA Application



# Drug Product Assessment

ANDA - [redacted] Iteration - 3

[CONTACT HELP DESK](#)

## DS MODULE

Navigation – ANDA ID and Assessment Status

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Initial Review

Primary Review

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## ANDA Specifications

Please Provide DS Reference:

Drug Substance:  (UNIT: )

DS Reference Status:  [DEF](#)

DS DMF ID and Status

DS Specification Evaluation

## S.4.1 Specifications

## ANDA Specifications

ANALYTICS

	Specification	Specification Details	Release	Justification	AD	Evaluation					
✓	Polymorphic Form		Meets Standard	Adopted from DMF	Yes	Polymorph meets spectral match comparison requirements	<a href="#">Link</a>	SEC	DEF	+	×
✓	Assay		98.0% - 101.0%	Compendial	Yes	Assay limits are consistent with current USP compendial	<a href="#">Link</a>	SEC	DEF	+	×
✓	Identification A		IR spectra match	-Select-	Yes	No further comment needed.	<a href="#">Link</a>	SEC	DEF	+	×
✓	pH of Solution		pH within 4.0 - 6.0	Compendial	Yes	pH meets current USP monograph limits.	<a href="#">Link</a>	SEC	DEF	+	×

## Missing DS Specification(s)

Does the sponsor's specification monitor all relevant drug substance attributes? \*

No

Reference Links, Secondary Comments, IR/Deficiency Input

	Specification	Specification Details	AD	Evaluation					
!	Particle Size		No: Specification Required	Defined drug substance particle size is needed since it is poorly water soluble.	<a href="#">Link</a>	SEC	DEF	+	×

# Drug Product Assessment

ANDA   Iteration - 1

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**DP MODULE**

Navigation – ANDA ID and Assessment Status

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## ANDA Specifications P.5.1 Specifications

Release and Stability Acceptance Criteria

DP Specification Evaluation

	Specification	Specification Details		Release	Stability	Justification	AD	Evaluation					
✓	Assay		i	95% - 105%	90% - 110%	Tightened based on degradation profile	Yes	Test1		SEC	DEF	+	×
✓	Dissolution		i	NLT 80%	NLT 80%	OGD dissolution database	Yes	Test2		SEC	DEF	+	×
✓	Disintegration			NMT 10 min	NMT min	Based on pharmaceutical development	Yes	Test3		SEC	DEF	+	×
✓	Assay		i	90% - 110%	90% - 110%	Typical standard 90-110%	Yes	Test4		SEC	DEF	+	×
✓	Dissolution		i	NMT 75%	NMT 75%	OGD dissolution database	Yes	Test5		SEC	DEF	+	×
✓	Water Content			NMT 4.0%	NMT 6.0%	Adopted from pharmaceutical developm...	Yes	Test6		SEC	DEF	+	×

## Missing Drug Product Specifications

Does the sponsor's specification monitor all relevant drug product attributes?

Yes

Reference Links, Secondary Comments, IR/Deficiency Input

# Drug Product Assessment

DP DESIGN MODULE

ANDA ID and Assessment Status

ANDA  Iteration - 1

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Dosage Form Tablet IR

## P.1.2 Component Composition of ANDA Drug Product

ID

Grade

Function

Quantity/Percent

ANALYTICS

Strength #1 of the Total 3 Strength(s):  mg

### Composition of #1 IR Tablet

Ingredient

STARCH

MICROCRYSTALLINE CELLULOSE 102

SILICON DIOXIDE

SODIUM STARCH GLYCOLATE TYPE A

LACTOSE MONOHYDRATE

TALC

MAGNESIUM STEARATE

Excipient Grade (Optional)

USP

NF, Pre-gelatinized Starch

NF

NF, Colloidal Silicon Dioxide

NF

NF, Spray dried

USP

NF

Function

API

Binder

Diluent/Filler

Glidant

Disintegrant

Diluent/Filler

Anti-adherent

Lubricant

Qty (mg)

Total: 100

%

Total: 100

Function Location (Optional)

Strength #1 of the Total 3 Strength(s):  mg

[<< Previous](#)

[Copy Content to Next Strength](#)

[>> Next](#)

### Summary of Component Composition

Component Name

#1 IR Tablet

Total Weight

Qty (mg)

(w/w)%

100

100

## STABILITY MODULE

VALIDATE

AUDIT

SUBMIT

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DP Exhibit Batch  
Information

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## P.8.2 Evaluation of Stability Data and Expiry Period

## DP Batches for Strength(s)

ANALYTICS

Strength	DP Batch #	DS Batch #	Bio Batch	URL of COA at Release	Page #	
[REDACTED]	1	[REDACTED]	Yes	[REDACTED]	2	Go + -
[REDACTED]	2	[REDACTED]	No	[REDACTED]	3	Go + -
[REDACTED]	3	[REDACTED]	No	[REDACTED]	4	Go + -

## Strength #1 of the Total 2 Strength(s):

Storage Conditions

Evaluation

BILITY DATA PROVIDED FOR THIS STRENGTH

\* Storage Condition 25 °C/60% RH

Group	Count	CCS Type	No. Batch(es)	Test Months		Evaluation
1	30	Bottle	3	12	24	Significant Trending

CQAs that are  
trending significantly

## Specification of Stability Risk(s)

DP Batch #	Specification	Evaluation	Comment
1, 2, 3	Assay	Trending	Assay result has decreased by more than 5% within the first twelve months of shelf life.
1, 2, 3	Impurities	Trending	Degradant #3 has increased to the limit of the acceptance criteria (within given method variation)

\* Storage Condition 40 °C/75% RH

Group	Count	CCS Type	No. Batch(es)	Test Months	Prop. Expiry (Months)	Evaluation
1	30	Bottle	3	6	24	Well Within Specifications

Strength #1 of the Total 2 Strength(s): Butalbital 50mg; Acetaminophen 300mg; Caffeine 40mg

Previous &lt;&lt;

Copy Content to Next Strength

&gt;&gt; Next





# Initial Risk Assessment

- 1. DS Physical Properties
- 2. DS Chemical Properties
- 3. DS Dissolution/Release

Drug Product Assessment

AND - Iteration - 1

CONTACT HELP DESK

RISK EVALUATION MODULE

VALIDATE AUDIT SUBMIT FINALIZE PRINT EMAIL

Initial Review

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Secondary Review

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Initial Risk Assessment

Overall Drug Release Type Impacts Risk

Drug Substance

Overall Drug Release

Tablet ER

Add Drug Substance-Overall Drug Release Combination

(Tablet ER)

DS Physical Properties Q&A\*

Assessor Comments

DS Physical Properties

1)

Additional comments if needed...

2)

Additional comments if needed...

3)

Additional comments if needed...

4)

Additional comments if needed...

\* Developed by Subject Matter Experts in OLDP; support algorithms related to risk assessment.

# Initial Risk Assessment (Continued)

## DS Chemical Properties

DS Chemical Properties

Q&A

Assessor Comments

1)	<input type="text"/>	<input type="text"/>	<input type="text" value="Additional comments if needed..."/>	<input type="button" value="Up"/> <input type="button" value="Down"/>	<input type="button" value="Link"/>
2)	<input type="text"/>	<input type="text"/>	<input type="text" value="Additional comments if needed..."/>	<input type="button" value="Up"/> <input type="button" value="Down"/>	<input type="button" value="Link"/>

DS Dissolution & Drug Release

## DS Dissolution & Drug Release

1)	<input type="text"/>	<input type="text"/>	<input type="text" value="Additional comments if needed..."/>	<input type="button" value="Up"/> <input type="button" value="Down"/>	<input type="button" value="Link"/>
2)	<input type="text"/>	<input type="text"/>	<input type="text" value="Additional comments if needed..."/>	<input type="button" value="Up"/> <input type="button" value="Down"/>	<input type="button" value="Link"/>
3)	<input type="text"/>	<input type="text"/>	<input type="text" value="Additional comments if needed..."/>	<input type="button" value="Up"/> <input type="button" value="Down"/>	<input type="button" value="Link"/>
4)	<input type="text"/>	<input type="text"/>	<input type="text" value="Additional comments if needed..."/>	<input type="button" value="Up"/> <input type="button" value="Down"/>	<input type="button" value="Link"/>
5)	<input type="text"/>	<input type="text"/>	<input type="text" value="Additional comments if needed..."/>	<input type="button" value="Up"/> <input type="button" value="Down"/>	<input type="button" value="Link"/>
6)	<input type="text"/>	<input type="text"/>	<input type="text" value="Additional comments if needed..."/>	<input type="button" value="Up"/> <input type="button" value="Down"/>	<input type="button" value="Link"/>

## Initial Risk Assessment “Score”

UNLOCK INITIAL RISK ASSESSMENT

Initial Risk Assessment

Risk of Physical Stability  
MEDIUM

Risk of Chemical Stability  
MEDIUM

Risk of In Vitro Dissolution  
HIGH

Revised or “Final” Risk Assessment

Physical Stability

Drug Substance	Overall Drug Release
	Tablet ER

(Tablet ER)

ANALYTICS

Initial Risk Ranking

Medium

MODIFY

Comment

Comment only if you modified the default risk rank.

Risk Mitigation Strategies of Product Design and Measurements

Risk Mitigation of Product Design

ID	Mitigation Strategy	AD	Reviewer Evaluation					
✓ 1		Yes	TEST1					
✓ 2		Yes	TEST2					

Risk Mitigation of Measurements

ID	Mitigation Strategy	AD	Reviewer Evaluation					
✓ 1		Yes	TEST3					
✓ 2		Yes	TEST4					

Risk Update

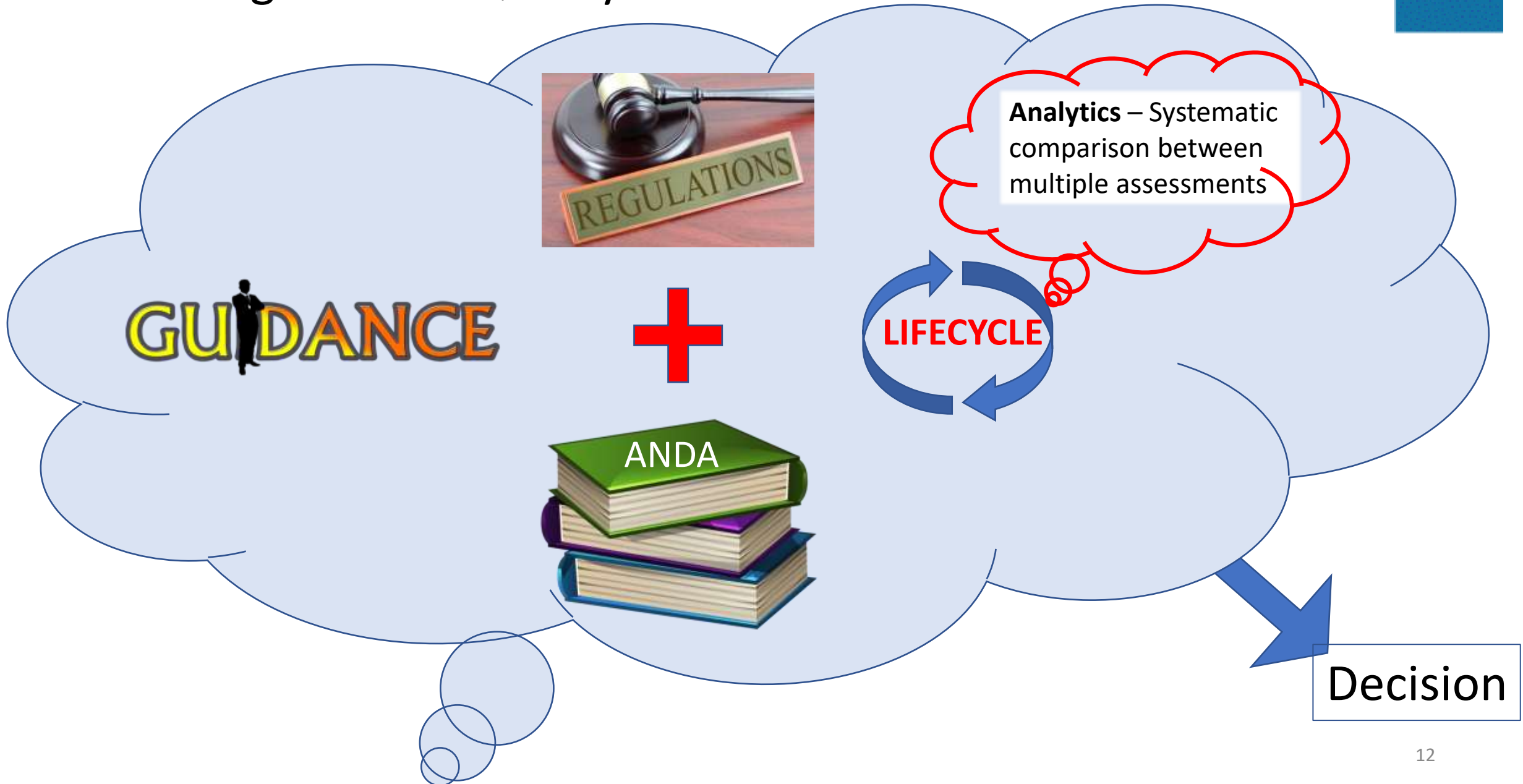
Low

Revised or “Final” RISK Update

Comment

Risk Mitigation through Product Design and Measurements reduces initial risk from Medium to Low.

# Drug Product Quality ANDA Assessment **with KASA**



## Three Benefits of KASA for DP Assessment :

1. Provides a *structured approach* to DP Assessment that fully supports the decision on ANDA product quality.
2. Allows collaborative multi-disciplinary view of the Quality Assessment to ensure a *consistent decision-making approach*.
3. Gives FDA internal customers, like OGD & OLDP Post-Marketing Assessors, the ability to *review the DP Lifecycle* using *Analytics* of DS & DP CQAs, Stability, and Risk Evaluation.



Thanks for your Attention.

## Challenge Question: KASA for DP Assessment

Which concept does KASA for DP Assessment support that was perhaps not previously in the mindset of assessors?

- A. Use of regulation and guidances.
- B. Drug Product Lifecycle from the RLD through all its generics.
- C. FDA is an Agency that is data-driven.