

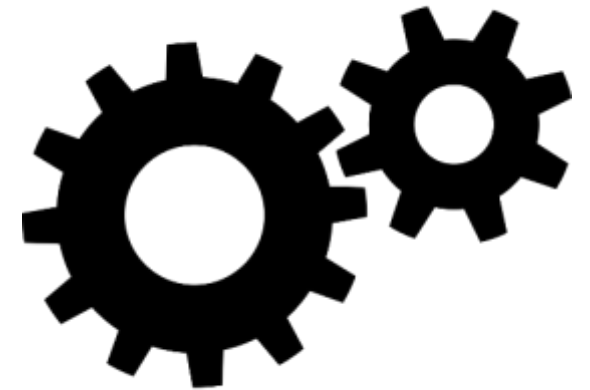
Emerging Technology Program

Sau (Larry) Lee, Director, Office of Testing and Research, OPQ
US FDA Center for Drug Evaluation and Research

Pharmaceutical Quality Symposium
October 17, 2019

Learning Objectives

- Learn the mission of the Emerging Technology Program
- Understand the scope of the Emerging Technology Program



Pharmaceutical Quality



A quality product of any kind consistently meets the expectations of the user.



Pharmaceutical Quality

A quality product of any kind consistently meets the expectations of the user.



Drugs are no different.

A close-up photograph of a person's hands. One hand is holding an orange plastic pill bottle, tilted to pour three white, oval-shaped pills into the palm of the other hand. The background is blurred, focusing attention on the action of taking medication.

**Patients expect safe and effective
medicine with every dose they take.**

A close-up photograph of a person's hands. One hand is holding an orange plastic pill bottle, tilted to pour several white, oval-shaped pills into the palm of the other hand. The background is blurred, focusing attention on the action of dispensing the medication.

Pharmaceutical quality is
assuring *every* dose is safe and
effective, free of contamination
and defects.



It is what gives patients confidence
in their *next* dose of medicine.



Mission

Encourage and support the adoption of innovative technology to modernize pharmaceutical development and manufacturing through close collaboration with industry and other relevant stakeholders



Team

A small cross-functional Emerging Technology Team (ETT) with representation from all relevant FDA quality review and inspection programs (CDER/OPQ, CDER/OC, & ORA)



Team

Chair

Sau (Larry) Lee | OPQ/OTR

Project Manager

Cheryl Kaiser | OPQ/OPRO

Technical Manager

Sarah Rogstad | OPQ/OTR

Members

-
- | | |
|----------------------------------|-----------------------------|
| • Cyrus Agarabi OPQ/OBP | • Min Li OPQ/ONDP |
| • Nilou (Sarah) Arden OPQ/OBP | • Rapti Madurawe OPQ/OPF |
| • Raphael Brykman OPQ/OS | • Grace McNally OPQ/OPPQ |
| • Sharmista Chatterjee OPQ/OPF | • Riley Myers OPQ/OBP |
| • Merry Christie OPQ/OBP | • Thomas O'Connor OPQ/OTR |
| • Poonam Delvadia OPQ/ONDP | • Hasmukh Patel OPQ/OLDP |
| • Raymond Frankewich OPQ/ONDP | • Bhagwant Rege OPQ/OLDP |
| • Rick Friedman OC | • Bryan Riley OPQ/OPF |
| • Tara Gooen OPQ/OPPQ | • Mohan Sapru OPQ/ONDP |
| • Ying Zhang OPQ/OPF | • Robert Tollefsen ORA |
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ETT Guidance and MAPP

Advancement of Emerging Technology Applications for Pharmaceutical Innovation and Modernization Guidance for Industry

U.S. Department of Health and Human Services
Food and Drug Administration
Center for Drug Evaluation and Research (CDER)

September 2017
Pharmaceutical Quality/CMC

20170917.F01

MANUAL OF POLICIES AND PROCEDURES

CENTER FOR DRUG EVALUATION AND RESEARCH

MAPP 5015.12

POLICY AND PROCEDURES

OFFICE OF PHARMACEUTICAL QUALITY

Process for Evaluating Emerging Technologies Related to Quality

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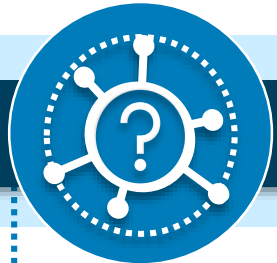
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PURPOSE

This MAPP describes the policies and procedures to be followed by the Office of Pharmaceutical Quality (OPQ) and the Emerging Technology Team (ETT)¹ in the Center for Drug Evaluation and Research (CDER) either for reviewing a prospective applicant's request² to participate in the Emerging Technology Program (ETP)³ or for providing input on an emerging technology identified in a regulatory submission. This MAPP also broadly describes the role of the ETT in providing quality assessments of the emerging technology-related components of the chemistry, manufacturing, and controls (CMC) portion of an applicant's or prospective applicant's regulatory submission (e.g., an investigational new drug application (IND), a new drug application (NDA), a biologics license application (BLA), an abbreviated new drug application (ANDA), a CMC supplement or amendment to an application, or an application-related drug master file submission).

This MAPP is intended to enhance the interoffice communications of the Food and Drug Administration (FDA), FDA's evaluation of presubmission information or data, collaboration between CDER offices and the Office of Regulatory Affairs about

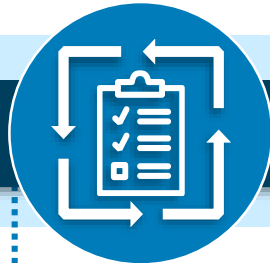
Program Objectives



To serve as a centralized location for external inquiries on novel technologies



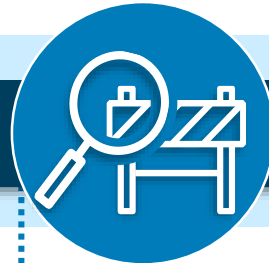
To provide a forum for firms to engage in early dialog with FDA to support innovation



To ensure consistency, continuity, and predictability in review and inspection



To engage international regulatory agencies to share learnings and approaches



To identify and evaluate potential roadblocks relating to existing guidance, policy, or practice



To facilitate knowledge transfer to relevant CDER and ORA review and inspection programs



To help establish scientific standards and policy, as needed

Contact us: CDER-ETT@fda.hhs.gov

ETT Collaborative Approach

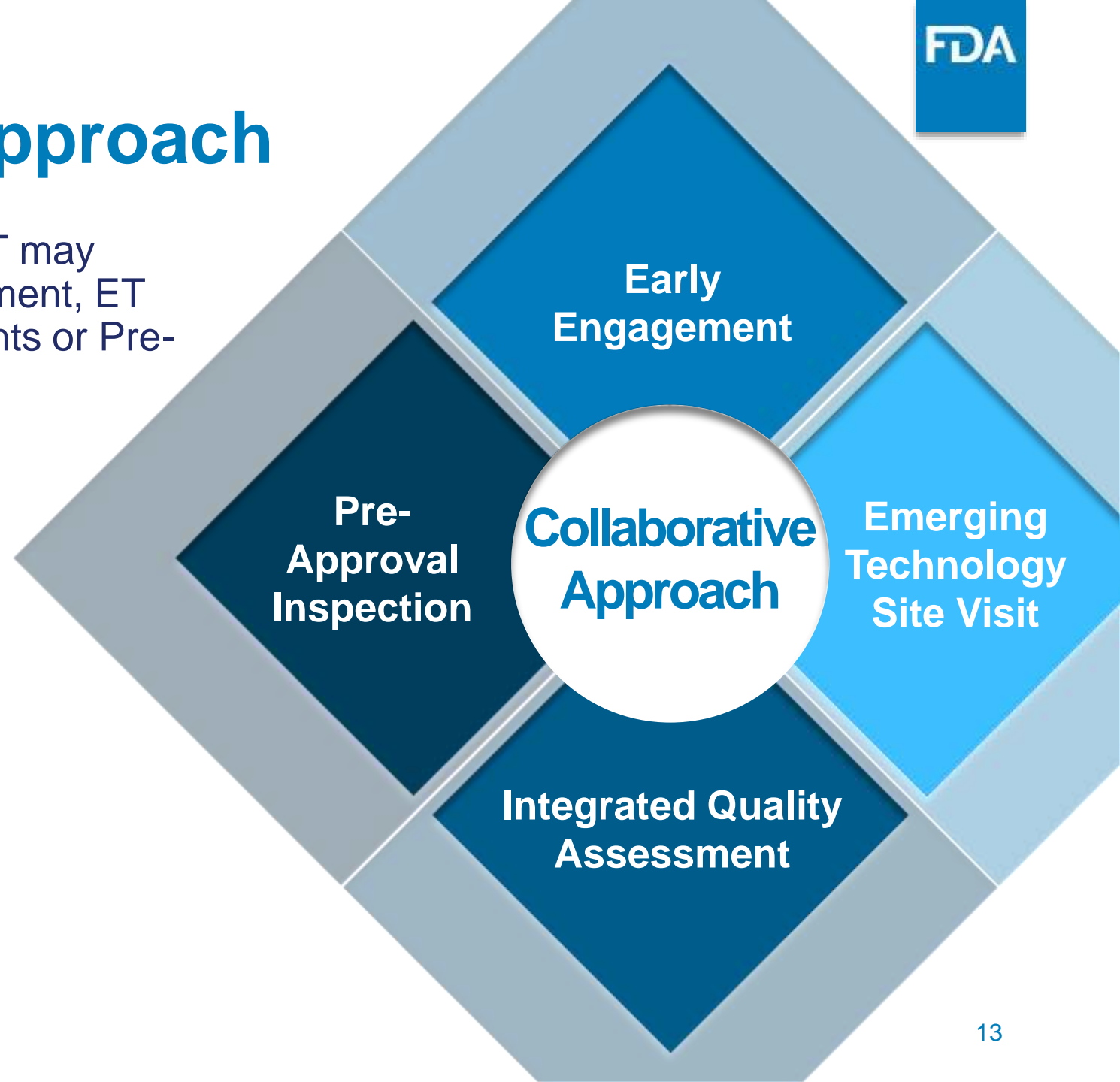
Over the course of an ETP project, ETT may employ a combination of early engagement, ET site visits, integrated quality assessments or Pre-Approval Inspections



The same ETT representative(s) will be involved in the entire process



The composition of a review team will likely remain the same throughout the entire process



ETT Collaborative Approach

Early Engagement (Pre-submission)

Face-to-face meeting(s) with ETT involvement – provided upfront scientific input under the Emerging Technology Program

Emerging Technology Site Visit

Participation by OPQ (including the ETT member(s)) and/or ORA members

Integrated Quality Assessment (IQA)

Interdisciplinary team with experts in Drug Substance, Drug product, Process/Facility, Biopharm, and/or Inspection

ETT member as an Application Technical Lead (ATL) or co-ATL to lead the IQA team when the ET impacts most part of a CMC section

Pre-Approval Inspection (PAI)

Conducted by team members from OPQ (including the ETT Member(s)) and ORA.

ETT Collaborative Approach: Early Engagement

01

Start during early technology development even without a drug candidate identified

02

Follow procedures described in the ET guidance to request participation in the ET program

03

Develop five-page proposal

- Describe the technology and explain why it is novel or unique
- Describe how it improves products
- Summarize development plan and implementation roadblocks
- Develop submission timeline

The sponsor must justify how the proposed emerging technology meet two criteria:

- (1) Pharmaceutical Novelty
- (2) Product Quality Advancement

Email proposals to: CDER-ETT@fda.hhs.gov

Progress in Emerging Technology



Approval of a first regulatory application utilizing 3D printing technologies

- Aprelia (Spritam, 2015)

Approvals of applications utilizing continuous manufacturing (CM)

- Vertex ORKAMBI (lumacaftor/ivacaftor, 2015)
- Janssen Prezista (darunavir, 2016)
- Eli Lilly Verzenio (abemaciclib, 2017)
- Vertex Symdeko (tezacaftor/ivacaftor and ivacaftor, 2018)
- Pfizer Daurismo (glasdegib, 2018)



Requests accepted to the ET program since launching in late 2014

- Received over 100 ETT proposals and accepted less 50% of these proposals to the program

For ETT Activities visit the ETP website:

<https://www.fda.gov/AboutFDA/CentersOffices/OfficeofMedicalProductsandTobacco/CDER/ucm523228.htm>



Small Molecules

FDA Experience: Emerging Technologies

- CM of drug substance
- CM of drug product
- End-to-end CM
- Pharmacy-on-demand
- Model-based control strategy for continuous manufacturing
- Continuous aseptic spray drying
- 3D printing manufacturing
- Ultra long-acting oral formulation



Biological Molecules

FDA Experience: Emerging Technologies

- Controlled ice nucleation for lyophilization processes
- Comprehensive product testing using a single multi-attribute assay (multi-attribute method)
- Continuous manufacturing for a downstream process
- End-to-end integrated bioprocess
- Pharmacy on demand (small manufacturing platform for continuous bioprocesses)

FDA Experience: Emerging Technologies



**Multiple
Technologies**

- Closed aseptic filling system
- Isolator and robotic arm for aseptic filling
- Novel container and closure system for injectable products

Getting Ready for ETT Meetings



Right Mindset and Culture

Regulatory Agencies

- Willing to learn / understand and recognize potential of new technologies with an open mind
- Make science- and risk-based assessments and decisions
- Be transparent to industry and not afraid to ask questions
- Multi-disciplinary approach (collaborative)

Industry

- Be transparent and willing to share with the agency early
- Not afraid to receive and answer many questions from the agency
- View regulators as part of your team

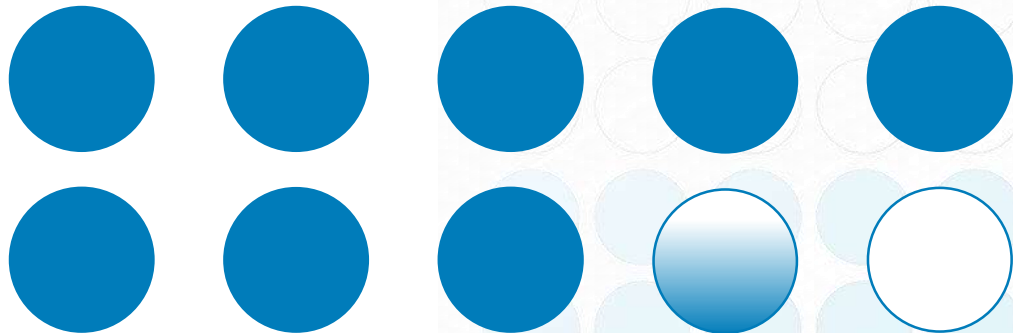


**We are
getting
there!**

Industry Feedback

Overall Score

Past and current ETP participants provided feedback and overall satisfaction ratings of 8.9 out of 10



Categories

ETP participants feedback fell into three categories:



Value



Process



Awareness

Acknowledgements



Emerging
Technology
Team



OPQ
Leadership



Industry
Partners

Challenge Question

- Under the Emerging Technology Program:
Can you request a meeting with FDA
without identifying the drug molecule or
product associated with the proposed
new technology?



- **YES**





Challenge Question

- What is the unique feature of the Emerging Technology Program?
 - The sponsor can ask for FDA feedback during early technology development without identifying the drug molecule or product associated with the proposed new technology
 - An ETT member will be involved in the pre-submission meeting(s), ETT site visit, application review and pre-approval inspection
 - All of the above





Challenge Question

- How many continuous manufacturing applications have been approved under the Emerging Technology Program?
 - 1
 - 2
 - 3
 - 5
 - 6.02×10^{23}





FDA

U.S. FOOD & DRUG
ADMINISTRATION

Thank You!