

Modernizing Manufacturing Assessment through KASA

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Generic Drug Forum 2022

- KASA for generic drug application
- Integrated manufacturing assessment
- Facilities and manufacturing risk assessment
- Facilities and unit operations assessment in KASA
- KASA analytics



KASA

Generics | New Drugs | Biologics

KASA: Knowledge-aided Assessment and Structured Application

CONTACT HELP DESK

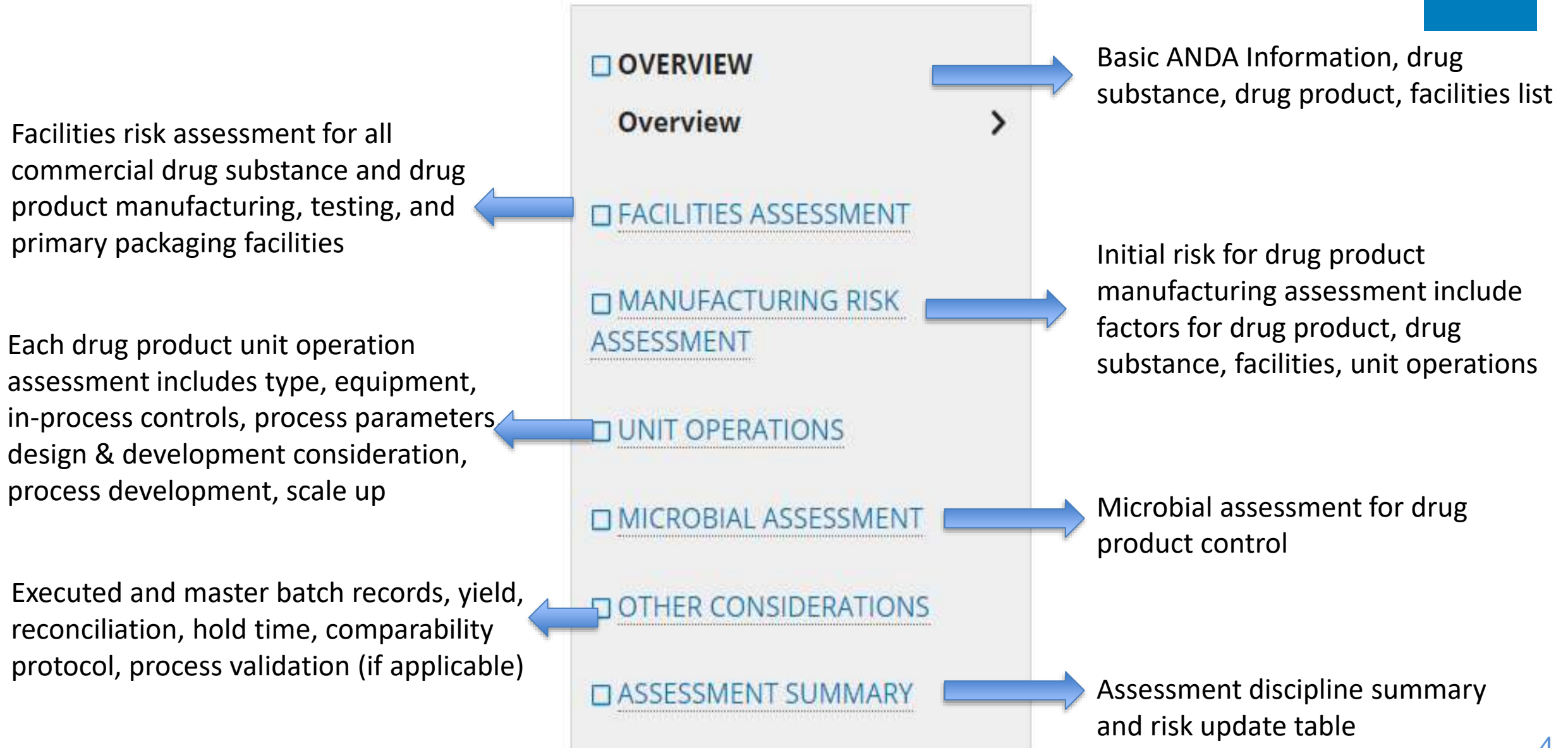
The KASA system allows FDA to intake application data and capture critical assessment information in a structured format.

Drug Product Assessment		
Iteration Name	Staus	Action
Original Review	Finalized	Load
IR Response	Draft	Load

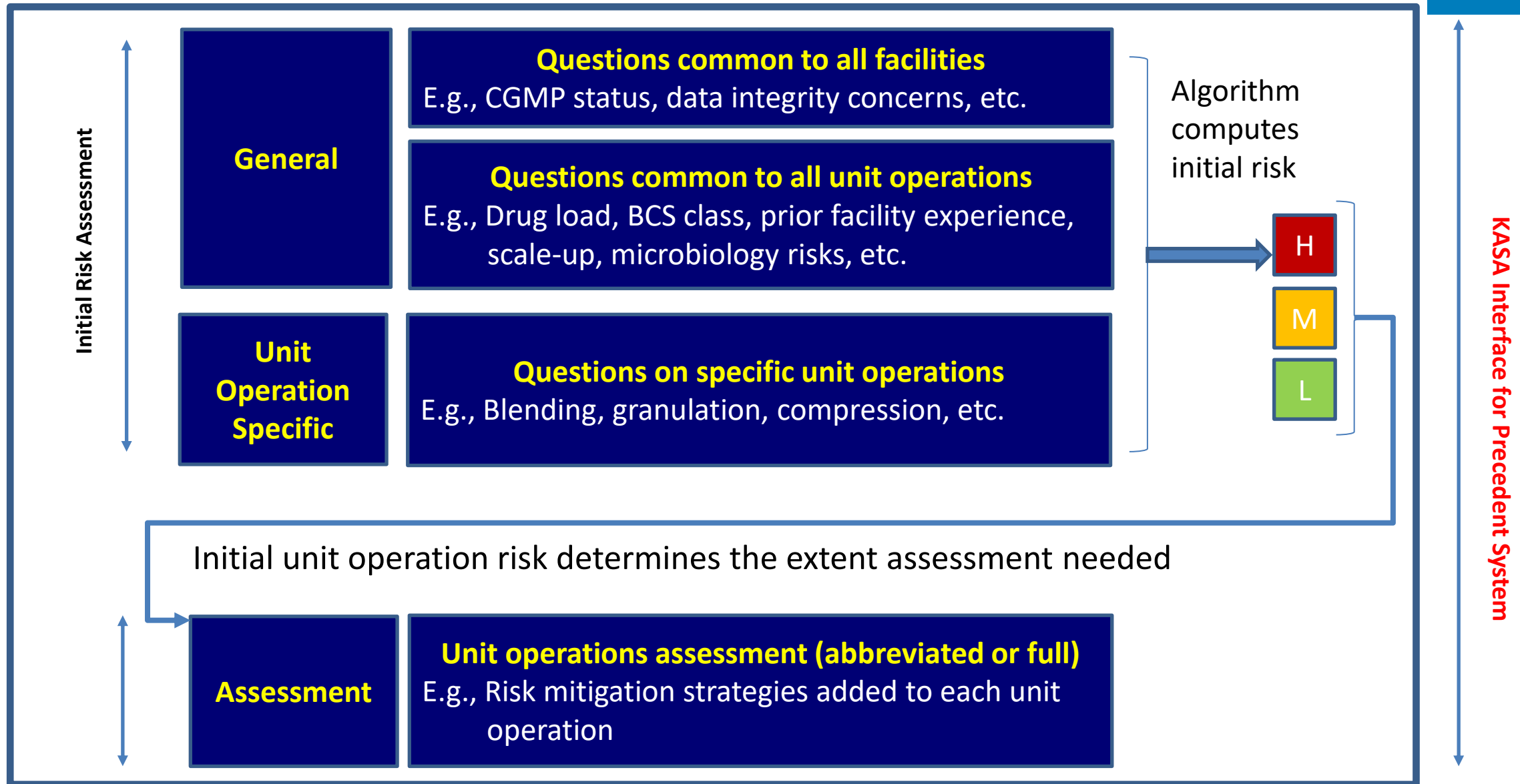
Manufacturing Integrated Assessment		
Iteration Name	Staus	Action
Original Review	Draft	Load

Biopharmaceutics Assessment		
Iteration Name	Staus	Action
Original Review	Draft	Load

Integrated Manufacturing Assessment



Manufacturing Risk Assessment & Control



Facility: Risk based Assessment



- Establishment is named for the first time
- First application filed by applicant
- New dosage form not previously approved at the site
- Substantially different or novel manufacturing process/design than previously approved
- Concerns about firm's quality systems
- Questions about the firm's capability of manufacturing quality products
- Scale-up concerns
- Product specific concerns

Pre-Approval Inspection: Supports review & approval of marketing applications for drug products

Post approval Inspection: Initiated after approval to verify that commercial-scale manufacturing and drug quality are per approved application

Alternate tools: could be used in advance or in lieu of inspection. 704(a)(4), remote interactive evaluations, mutual recognition agreements are some examples



Facility Assessment in KASA

Facility 1:

FEI:

Profile Code(s):

☒ Manufacturing ☒ Packaging [CMS](#) [Mercado](#) [OSAR](#) [Previous Facility Evaluation](#) [ANALYTICS](#)

Primary Packaging

---Select---

Initial Facility Assessment

Process Experience

---Select---

Quality oversight

---Select---

Quality defect signals

---Select---

Data concerns

---Select---

Other Potential contributing risk factors

---Select---

CALCULATE INITIAL RISK

PAI Recommendation

PAI/704(a)(4)?

Reviewer Evaluation

Comment on inspection / 704 (a)(4) request dates and Assessor participated in PAI?

SEC

Evaluation of DP Facility

Facility Status Assessment

Unit Operation Risk based Assessment



☐ Product & process development

- **Drug load:** Low, medium, high
- **Solubility of API:** High or low
- **Physical properties of API/Excipients:** Flow, static charges, particle size, polymorphism as they relate to manufacturability or product performance
- **Stability**
 - Aqueous stability: wet granulation versus direct compression
 - Thermal stability: possibility of form conversion, degradation
- **Formulation:** Impact on CQAs, stability
 - API & Excipient Compatibility: possibility of degradation with the process selected

☐ Process reliability (e.g., performance under different operating conditions, at different scales or with different equipment)

- **Process control:** On-line/in-line/at-line or off-line



Keep an end in mind: Are the process & control strategies fit for purpose & scalable?

Unit Operation Assessment in KASA



1 Lubrication

FEI:

Drug Substance:

Manufacturing Risk Score:

Commercial Manufacturing Conditions

Type of Blending	Equipment
<div>---Select---</div>	<div>---Select---</div>
SEC	SEC

Additional Comment

SEC

Proposed In-Process Control Not applicable ☐

ANALYTICS

In-Process Controls	Proposed Specification and Sampling Plan
<div>---Select---</div>	<div></div>
	<div><div>SEC</div><div>+</div><div>×</div></div>

Proposed Process Parameter Not applicable ☐

Process Parameters	Comment
<div>---Select---</div>	<div></div>
	<div><div>SEC</div><div>+</div><div>×</div></div>

Unit Operation Assessment in KASA contd



Design & Development

Special Considerations Not applicable ☐

Special Considerations	Supporting Information & Comment
<div>---Select---</div>	<div><div></div><div>SEC</div><div>+</div><div>×</div></div>

Process Development Not applicable ☐

Consideration	Supporting Information & Comment
<div>---Select---</div>	<div><div></div><div>SEC</div><div>+</div><div>×</div></div>

Scale Up Proposal

Consideration	Supporting Information & Comment
<div>---Select---</div>	<div><div></div><div>SEC</div><div>+</div><div>×</div></div>

Overall Evaluation of Lubrication

Assessment	Reviewer Evaluation
<div>---Select---</div>	<div><div></div><div>SEC</div><div>DEF</div></div>

Manufacturing Risk Control



	Initial Risk	Unit Operation	Manufacturing Risk Control Dropdown Menu		Assessment Comment	Supporting Information Link
CQA 1 / Dissolution	High/ Medium/ Low	Wet Granulation	Process Factor	Approach A	<u>Descriptors:</u> Process Design & Development, In-Process Controls, Scale up approaches	
				Approach B Approach C		
		Compression	Facility Factor	Approach H		
				Approach I Approach J		
			Process Factor	Approach M Approach N Approach O		
				Approach S Approach T Approach V	<u>Descriptors:</u> Prior experience, Site History	

KASA Analytics-Facilities



Access information on approved sites: (a) site's capability to manufacture various dosage forms; (b) CGMP history; (c) approved control strategy for available unit operations



Compare

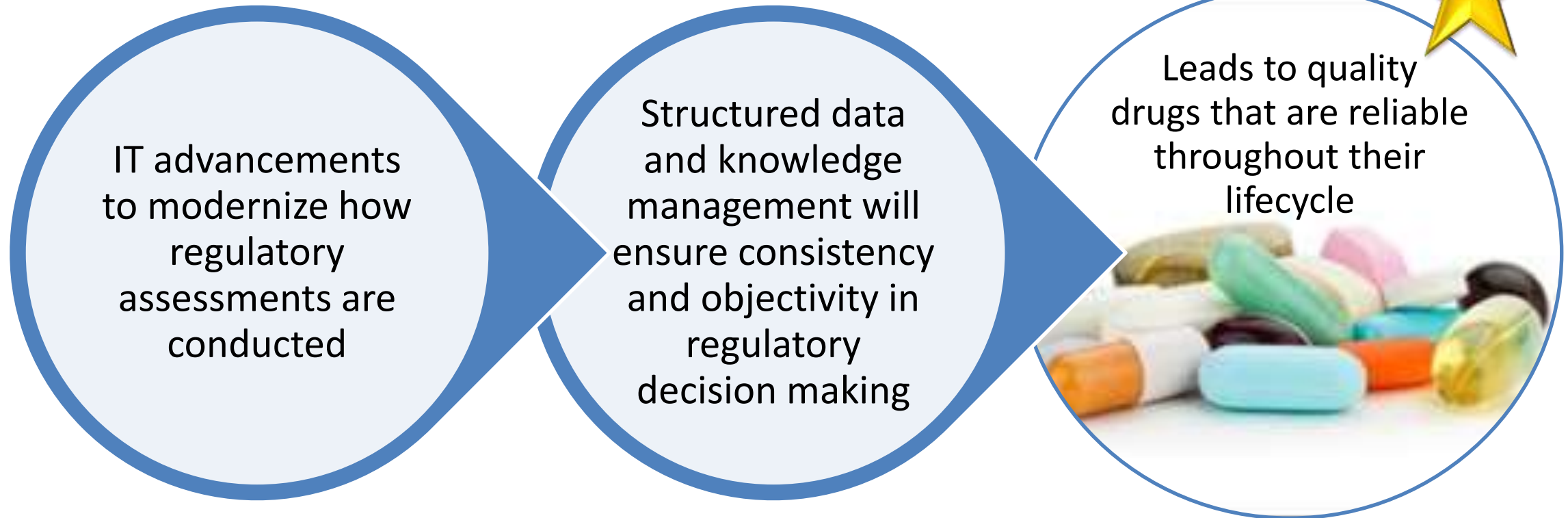
Pending application facility assessment

Proposed site has demonstrated capability, proposed process control strategy is in alignment with prior information: **Low Risk**

Proposed site has not demonstrated capability, proposed process control strategy is not in alignment with prior information: **More Scrutiny**

KASA improves overall efficiency and helps making regulatory decision by improving the manufacturing and facilities knowledge management

The End Game



Thank You



U.S. FOOD & DRUG
ADMINISTRATION

Effective leadership Collaborative relationships

Encourage innovation

Risk-based approaches

———— ***One Quality Voice*** ————

Patients first

Team-based processes

Developing and utilizing staff expertise

Scientifically-sound quality standards

Challenge Question: KASA for Manufacturing Assessment

KASA improves overall efficiency and helps making regulatory decision by improving the manufacturing and facilities knowledge management

A. True

B. False